



# Bank Secrecy Act Staff Training

TexasBankersAssociation

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## Webinar • September 8, 2010, 1:30-3:30 CT

*This webinar addresses all requirements of the bank's BSA/AML training program as outlined in the BSA/AML examination procedures. The manual and presentation provide a complete training solution on this important topic.*

Bank Secrecy Act compliance is a "safety and soundness" issue. To pass the test financial institutions are required provide annual BSA training for appropriate personnel, including, but not limited to tellers, customer service representatives, lending officers and all other customer contact employees. This program addresses all requirements of the bank's BSA/AML training program as required by federal regulators and outlined in the BSA/AML examination procedures. The manual and the presentation provide a complete solution for training on this important topic.

### Highlights

- Currency transaction reporting,
- Exemptions from currency transaction reporting,
- Record retention requirements,
- Customer Identification Program requirements,
- Customer Due Diligence responsibilities,
- Money Services Businesses requirements,
- A review of money laundering methods, and techniques to recognize money laundering,
- OFAC responsibilities,
- Suspicious activity reporting requirements and
- Penalties for non compliance.

In addition, BSA training must also include a "review of internal policies, procedures and processes." A list of questions is provided to facilitate discussion of the bank's program at the end of each topic in the manual.

### Why Participate?

- This is the most cost effective, time efficient way to meet the BSA's annual training requirement.
- BSA is a safety and soundness topic and, as such, carries more liability for the financial institution and its staff than any other regulatory issue.
- This program is presented using "real-world" examples in a very "down-to-earth" fashion.
- A comprehensive, easy-to-use manual is provided as a desk-top reference.

### Audience

Anyone with BSA responsibilities, including tellers, CSRs, lending officers and all customer contact personnel. The program is suitable for new and experienced personnel. It is presented at the basic level.

**CE Applied:** 2.5 hours CRCM/CBT/CCSR/CPB/CFSSP credit with the ICB

**CE Awarded:** 2 hours with the Texas State Board of Public Accountancy

### Speaker

**Laura Wilson** is a principal with Pegasus Educational Services, LLC. Audiences routinely comment on her unique recollection of material and sense of humor. At one time she served as compliance trainer for a \$3 billion savings bank. Later, she was a senior consultant/trainer for a consulting firm for financial institutions. She has also taught at compliance schools sponsored by the OTS and the FDIC.

### What is a Webinar?

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