

Opening Business Accounts in Texas

NEW ACCOUNT DOCUMENTATION & COMPLIANCE

May 13 – McAllen May 16 – Gilmer
May 14 – San Antonio May 23 – Odessa
May 15 – Waco



TexasBankersAssociation
One Industry. One Vision. One Voice.

ABOUT THE PROGRAM

Well trained new account personnel and Universal Bankers who recognize and stop attempted fraudulent activity are the first line of defense in protecting their financial institution. In this 3-hour evening seminar, participants gain confidence and a clear understanding of the regulatory, compliance, documentation and state law requirements for opening business accounts. The training manual is customized to Texas law and has become known as the technical reference handbook for new account departments across the country.

WHAT TO BRING

It is highly recommended that attendees bring a copy of their financial institution's signature card and resolutions to use as a reference during the training session.

WHO SHOULD ATTEND?

Customer contact personnel, supervisors and officers whose responsibilities include opening or managing new accounts. Personal bankers, BSA officers, deposit operations, branch administration, CIP managers, training and business development officers, internal auditors and compliance officers will find the information extremely beneficial. Lending assistants and officers will find the information informative as well. This program is also excellent for supervisors or others who have recently assumed the responsibility of new accounts but have never worked in the new account area.

HIGHLIGHTS

- Business Account Documentation – Internal Forms and Secretary of State
- Understanding the Importance of Resolutions
- How Businesses Are Taxed and Why We Care
- Which business types are required to obtain an EIN and which may use a SSN?
- What is the risk of running consumer reporting, like ChexSystems, on a non-owner of a business account?
- Sole Proprietorships
- General (GP), Limited (LTD), and Limited Liability Partnerships (LLP)
- Limited Liability Limited Partnerships (LLLP)
- Limited Liability Company and Series LLC (LLC/SLLC)
- LLC — Determining Tax Classification
- Profit and Non-Profit Corporations / Professional Corporations
- Professional Associations (PA)
- Nonprofit Associations and Charitable Organizations
- Escrow /Agency / Intermediary
- Interest On Lawyer Accounts (IOLTA)
- Removing an Owner or Non-Owner as a Signer
- Access at the Death of a Business Account Signer/Owner
- FinCEN – New Customer Due Diligence Effective 2018

PRESENTER

Suzette (Suzie) Jones, CFP®, Managing Member, Training Resources Consulting, LLC.

With over 40 years of experience in the financial industry, Suzie has largely focused her technical expertise on new accounts compliance and risk management. After 22 years, Suzie recently retired as an Executive Vice President of a \$65 billion financial organization, where her responsibilities included the overall leadership and risk management of the \$11B Investment Division. She was an active member and leader of the AML/BSA, Personal/Corporate, and

Product Risk Committees. Suzie brings technical information to life with her engaging training style, use of real-life examples, and in-depth knowledge. She has a reputation for empowering new account representatives with the skills and tools to protect their organization while at the same time focusing on providing quality customer service.



SCHEDULE

5:00 – 5:30 p.m. Registration
5:30 – 8:30 p.m. Class

REGISTRATION

Register online or complete a separate form for each registrant. The registration fee includes program materials and a heavy snack. For IRS reporting purposes, the food cost portion of your registration is approximately \$40. TBA members pay \$165 per person for the first three registrants, regardless of the total number registering. Additional registrants pay \$145 per person.

CANCELLATION

Cancellation/substitution requests must be made in writing. Email requests to education@texasbankers.com. Full registration fees will be refunded if written notice is received no later than 5 working days prior to the seminar. Substitutions are permitted without penalty.

CANCELLATION

Texas State Board of Public Accountancy: 3 CPE credit hours. There are no prerequisites.

ABA Professional Certifications: Application for CE credit review is pending approval. Once we receive notification of the credit approval, we will notify attendees.

LOCATIONS

McAllen, May 13

Casa De Palmas
101 N. Main St.
956-631-1101

San Antonio, May 14

International Bank of Commerce (IBC)
8650 Fredericksburg Rd.

Waco, May 15

Community Bank & Trust
1711 Lake Success Dr.
254-757-6300

Gilmer, May 16

Gilmer Civic Center
1218 Hwy. 271 N.
903-797-8888

Odessa, May 23

MCM Elegante Hotel
5200 E. University Blvd.
432-368-5885

2019 OPENING BUSINESS ACCOUNTS IN TEXAS

Register online or complete a separate form for each registrant.

Online: www.texasbankers.com/store

Email: register@texasbankers.com

Mail: Texas Bankers Association
P.O. Box 2569
Austin, TX 78768-2569
800-462-7295 | 512-472-8388

- McAllen, May 13
- San Antonio, May 14
- Waco, May 15
- Gilmer, May 16
- Odessa, May 23

TBA Members:

- First 3 registrants, \$165 per person
- Additional registrants, \$145 per person

Nonmembers: \$330 per person per day

Name Mr. Ms.

First Name for badge

Title

Bank/Company

Business Address

Mailing Address (if different from above)

City/State/Zip

Phone

Fax

Email

Please note any special accommodations below (i.e. ADA, food allergies, dietary requests, etc.)

To expedite your registration, please include any other names you have used (i.e. maiden name, formal name or nickname) and, if applicable, any other financial institutions you have previously worked for.

Other Names Other Financial Institutions

WEBPDF SW1-0014

