



Texas Bankers Association
Strong Banks. Stronger Communities.

16TH ANNUAL BSA/AML COMPLIANCE SCHOOL

June 29-30, 2020: Presented Virtually

PLUS

BSA/AML Basics: Optional Pre-recorded Seminar

**STAY ON TOP OF YOUR INSTITUTION'S MOST DYNAMIC
AND PERVASIVE COMPLIANCE RESPONSIBILITY.**



We are excited to offer our annual BSA/AML Compliance School in a virtual format.
Pay one registration fee and train unlimited employees.

BENEFITS

- Fulfill mandatory BSA/AML annual training requirement for both newer and experienced personnel.
- Learn about current and proposed changes to ensure you and your bank are in compliance.
- Obtain CPE credit (CRCM, CAFP, CAMS, TSBPA).
- Hear directly from the regulators about current and future priorities.
- Have access to industry experts and peers to get your questions answered.
- Gain additional tools and resources to improve internal BSA compliance efforts and train staff.
- All sessions are recorded for future reference (excludes regulatory panel and huddles).
- 90 days access to recorded sessions and materials (or download everything and keep them as long as you like).
- Train as many people as you like for one registration fee.

ABOUT OUR FACULTY

Faculty members have been chosen based upon their education, banking experience and teaching expertise. All faculty encourage and welcome questions and interaction so that important concepts and tools are fully presented and clearly explained.

FACULTY MEMBERS INCLUDE:

Dianne Barton

President, Performance Solutions, Inc.

Dianne has led PSI's training and consulting services for over 35 years. Her expertise in leadership development, regulatory compliance, retail growth strategies, strategic planning and sales and service culture implementations is recognized as providing "real world solutions" by banks of all sizes. Dianne is a graduate of Georgia State University and is on the faculty of several state banking schools.

Elizabeth Madlem

Associate General Counsel, Compliance Alliance, Inc.

Before joining C/A, Elizabeth served as both the Operations Compliance Manager and Enterprise Risk Manager for Washington Federal Bank, a \$16 billion dollar organization headquartered in Seattle, WA. She has industry expertise and real-world solutions surrounding bank-enterprise initiatives as well as knowledge of contract law and bank regulatory compliance.

Regulatory Panel

*Texas Department of Banking,
FDIC, OCC, Federal Reserve*

REGISTRATION

Register online or complete the attached form. If you would prefer to email your registration, we'll be happy to invoice your bank. A confirmation will be emailed to you upon receipt of registration.

WHAT'S INCLUDED

BSA/AML Basics: Your registration fee includes links to pre-recorded sessions and course materials. Recordings and handouts will be delivered by June 19.

BSA/AML Compliance School: Your registration fee includes access to the online sessions and recordings, course materials and pre-recorded bonus sessions. Handouts will be delivered by June 19. Online sessions will be delivered via Webex video conferencing.

Anyone from your bank will have up to 90 days to access the handouts and recordings.

CONTINUING EDUCATION

TEXAS STATE BOARD OF PUBLIC ACCOUNTANCY:

BSA/AML Basics: 6.5 CPE Credit Hours
(there are no educational prerequisites)

BSA/AML Compliance School: 12 CPE credit hours

ABA PROFESSIONAL CERTIFICATIONS & ACAMS

Application for CE credit is under review and pending approval. Attendees will be notified once approval is confirmed.

BSA/AML BASICS

Optional Pre-recorded Seminar

Based on banker comments and recommendations, this 6-hour program has been revamped to provide a complete overview of the fundamentals. If you're new to BSA or want a comprehensive BSA knowledge base, this is the place to start. It is also a great refresher.

Speaker: Elizabeth Madlem (*unless noted otherwise*)

TOPICS:

- The 5 Pillars of BSA
- CDD & Beneficial Ownership
- High Risk Customers & EDD
- Introduction to CTRs
- Introduction to SARs
- Ensuring BSA Team Cross Training & Development (Dianne Barton)

Sessions will be pre-recorded and delivered by June 19 to allow students time to view the content prior to attending the advanced sessions on June 29-30.

BONUS SESSIONS

(PRE-RECORDED)

Some banks still have BSA training programs primarily tailored to consumer deposit accounts and do not provide sufficient training for other critical personnel. These short, targeted sessions will help you train your board and lenders on their BSA/AML responsibilities.

- Board of Director BSA/AML Training (45 minutes)
- BSA & Lenders Training (30 minutes)

BSA/AML COMPLIANCE SCHOOL

June 29-30, 2020

At least six hours of formal BSA/AML training is required.

This school provides a unique opportunity to get some rare "how to" instruction. The curriculum changes each year to provide up-to-date, training for experienced personnel. **This is not an introductory program.** The optional pre-recorded BSA/AML Basics seminar satisfies the training requirement. Unless noted otherwise, all sessions will be recorded.

JUNE 29

8:30 – 9:15

BSA/AML & OFAC Update for 2020

Dianne Barton

9:15 – 9:30

Break

9:30 – 10:30

Insider Abuse & Complicity & False Positives

Dianne Barton

10:30 – 10:45

Break

10:45 – 11:45

Responding to Investigations

Elizabeth Madlem

11:45 – 1:00

Lunch Break

1:00 – 3:00

High Risk Accounts: MSBs, MRBs & Hemp

Elizabeth Madlem & Dianne Barton

3:00 – 3:15

Break

3:15 – 4:15

Managing Alerts & False Positives

Dianne Barton

4:15 – 4:30

Break

4:30 – 5:30

Preparing for your Next Exam Huddle & Happy Hour*

Elizabeth Madlem & Dianne Barton

JUNE 30

9:00 – 10:30

Regulatory Panel*

Texas Department of Banking, FDIC, OCC, Federal Reserve

10:30 – 10:45

Break

10:45 – 11:45

Effective BSA/AML Risk Assessments

Elizabeth Madlem

11:45 – 1:00

Lunch

1:00 – 1:30

Keynote Speaker

To Be Announced

1:30 – 1:45

Break

1:45 – 2:30

Effective Policy Writing with BSA/AML in Mind

Elizabeth Madlem

2:30 – 2:45

Break

2:45 – 3:15

Convertible Virtual Currency

Elizabeth Madlem

3:15 – 3:30

Break

3:30 – 4:15

What's Ahead in 2020?

Dianne Barton

*These sessions will not be recorded.

REGISTRATION FORM

BSA/AML BASICS SEMINAR

Pre-recorded available prior to school

16TH ANNUAL BSA/AML COMPLIANCE SCHOOL

June 29-30, 2020

Register online at www.texasbankers.com/store

Mail: Texas Bankers Association | P.O. Box 2569 | Austin, Texas 78768-2569

Email: register@texasbankers.com | Fax: 512-473-2560 | Phone: 512-472-8388 / 800-462-7295

First Name for Certificate Mr. Ms. Last Name Title

Bank/Company

Bank Street Address Bank Mailing Address (if different than above) City/State/Zip

Phone E-mail

To expedite your registration, please include any other names you have used (i.e. maiden name, formal name or nickname) and, if applicable, any other financial institutions you have previously worked for.

Other Names Other Financial Institutions

ADDITIONAL PARTICIPANTS (NO ADDITIONAL FEE REQUIRED)

First Name Mr. Ms. Last Name Email

First Name Mr. Ms. Last Name Email

First Name Mr. Ms. Last Name Email

First Name Mr. Ms. Last Name Email

First Name Mr. Ms. Last Name Email

First Name Mr. Ms. Last Name Email

First Name Mr. Ms. Last Name Email

REGISTRATION OPTIONS

PAY ONE REGISTRATION FEE AND TRAIN UNLIMITED EMPLOYEES

OPTION A: BSA/AML Basics Pre-recorded Seminar Only

TBA Member: \$595

Nonmember: \$1,190

OPTION B: June 29-30 – BSA/AML Compliance School Only

TBA Member: \$1,175

Nonmember: \$2,350

**OPTION C: BSA/AML Basics Pre-recorded Seminar
PLUS BSA/AML Compliance School**

TBA Member: \$1,675

Nonmember: \$3,350

TOTAL: \$



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