

# BSA/AML Basics

## SEMINAR

February 19, San Antonio  
February 25, Farmers Branch  
February 26, McAllen  
February 27, Humble



TexasBankersAssociation  
*Strong Banks. Stronger Communities.*

This One-Day Workshop provides a comprehensive and up-to-date overview of top industry concerns related to BSA/AML compliance responsibilities. In the age of deregulation, BSA/AML is here to stay. Compliance Alliance incorporates up-to-date feedback from our member base to hit the hot topics and stress points for BSA compliance in community banks across the country. This includes preparing for post-Beneficial Owner rule examinations, the latest guidance for banking marijuana and hemp businesses and the ever-growing opportunities and risks of convertible virtual currency. Topics include:

- 5 Pillars of BSA
- Everything you need to know about beneficial owners
- Who your high-risk customers are and what enhanced due diligence is needed for them
- What are the risks and benefits of banking marijuana and hemp-related businesses?
- What is CVC and how do you use it and comply with BSA requirements?
- Refresher and open discussion

## WHO SHOULD ATTEND?

New BSA officers and staff (and anyone who needs a refresher), compliance officers, internal audit staff and any employee who assists with BSA monitoring, auditing or compliance.

## CONTINUING EDUCATION

**Texas State Board of Public Accountancy:** 6 CPE credit hours/day. There are no educational prerequisites.

**ABA Professional Certifications:** Application for CE credit review is pending approval. Attendees will be notified once the credit approval is received.

**ACAMS:** Estimated 5 credits, pending approval.

## SCHEDULE

8:30 - 9:00	Registration & Breakfast
9:00 - 10:00	Five Pillars of BSA
10:00 - 11:00	CDD & BO (5th Pillar of BSA)
11:00 - 12:00	High Risk Customers & EDD (Who are your HRC and What is EDD)
12:00 - 1:00	Lunch – sponsored by Verafin
1:00 - 2:00	Banking Marijuana and Hemp Businesses
2:00 - 3:00	Convertible Virtual Currency
3:00 - 4:00	BSA Refresher (CTR, SAR, Open Discussion)

## INSTRUCTOR

Your instructor, Elizabeth Madlem, is a compliance expert with Compliance Alliance (C/A). In 2011, Bankers Alliance, the holding company, created C/A to fill a need for banks overwhelmed with new regulations and frequent examinations. Now owned by a majority of the State Bankers Associations and serving member-clients in 49 states across the U.S. and District of Columbia, C/A is an industry leader in banking compliance advisory services. C/A is the only banking industry compliance resource owned, operated and managed by State Bankers Associations. Based on the needs of community bankers, C/A provides its members with an all-inclusive set of tools and services that help them stay up-to-date with consumer and regulatory requirements.

## REGISTRATION

Register online or complete the attached form and submit via email or mail. The registration fee includes program materials, breakfast and lunch. For IRS reporting purposes, the food cost portion of your registration is approximately \$125 per day.

## CANCELLATION

Cancellation/substitution requests must be made in writing. Email requests to [education@texasbankers.com](mailto:education@texasbankers.com). Full registration fees will be refunded if written notice is received no later than Feb. 12, 2020. Substitutions are permitted without penalty.

## LOCATIONS

### FEBRUARY 19, SAN ANTONIO

#### La Quinta Inn & Suites San Antonio Riverwalk

303 Blum St.

San Antonio, TX 78205

Group Rate: \$127 (by 1/28/20)

Email [lq0501sc3@laquinta.com](mailto:lq0501sc3@laquinta.com) or call reservations at 800-753-3757 and provide the following:

Hotel: La Quinta Inn & Suites San Antonio Riverwalk (property #53402)

Group Name: BSA/AML Basics

### FEBRUARY 25, FARMERS BRANCH

#### TIB-The Independent BankersBank Office

11701 Luna Road

Farmers Branch, TX 75234

#### Nearby Hotels for Farmers Branch:

DoubleTree Hotel

11611 Luna Road (next door to TIB)

Farmers Branch, TX 75234

972-506-0055

Omni Dallas Hotel at Park West

1590 LBJ Freeway (.3 mi. from TIB)

Dallas, TX 75234

972-869-4300

Holiday Inn Express

(.3 mi. from TIB)

1570 LBJ Frwy.

Farmers Branch, TX 75234

469-862-3500

### FEBRUARY 26, MCALLEN

#### Embassy Suites McAllen Convention Center

800 Convention Center Blvd.

McAllen, TX 78501

956-688-8329

Group Rate: \$139 (by February 1, 2020)

Group Name: Texas Bankers Association

Call the hotel directly and mention the group name to receive the discounted rate.

### FEBRUARY 27, HUMBLE

#### Third Coast Bank SSB

20202 Highway 59 N Ste 190

Humble, TX 77338

#### Recommended Hotel:

Courtyard by Marriott Houston Kingwood (4 mi. from bank)

130 Northpark Plaza Dr.

Kingwood, TX 77338

281-973-1790

## 2020 BSA/AML BASICS

### REGISTRATION FORM

Register online or complete a separate form for each registrant.

**Online:** [www.texasbankers.com/store](http://www.texasbankers.com/store)

**Email:** [register@texasbankers.com](mailto:register@texasbankers.com)

**Mail:** Texas Bankers Association

P.O. Box 2569

Austin, TX 78768-2569

Feb. 19, San Antonio

Feb. 26, McAllen

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**TBA Members:**

1-3 registrants, \$350 per person

4+ registrants, \$325 per person

**Nonmembers:**  \$700 per person per day

Name  Mr.  Ms.

First Name for badge

Title

Bank/Company

Business Address

Mailing Address (if different from above)

City/State/Zip

Phone

Fax

Email

Please note any special accommodations below (i.e. ADA, food allergies, dietary requests, etc.)

To expedite your registration, please include any other names you have used (i.e. maiden name, formal name or nickname) and, if applicable, any other financial institutions you have previously worked for.

Other Names

Other Financial Institutions